

# Estimation of $Q$ for Long-Period ( $>2$ sec) Waves in the Los Angeles Basin

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**Abstract** We simulate 0- to 0.5-Hz 3D wave propagation through the Southern California Earthquake Center seismic velocity reference model, version 2, for the 1994 Northridge earthquake in order to examine the effects of anelastic attenuation and amplification within the near-surface sediments. We use a fourth-order finite-difference staggered-grid method with the coarse-grained frequency-independent anelastic scheme of Day and Bradley (2001) and a variable slip distribution from kinematic inversion for the Northridge earthquake. We find that the near-surface material with  $S$ -wave velocity ( $V_s$ ) as low as 500 m/sec significantly affects the long-period peak ground velocities, compared with simulations in which the  $S$ -wave velocity is constrained to 1 km/sec and greater. Anelastic attenuation also has a strong effect on ground-motion amplitudes, reducing the predicted peak velocity by a factor of up to 2.5, relative to lossless simulations. Our preferred  $Q$  model is  $Q_s/V_s = 0.02$  ( $V_s$  in meters per second) for  $V_s$  less than 1–2 km/sec, and much larger  $Q_s/V_s$  (0.1,  $V_s$  in meters per second) for layers with higher velocities. The simple model reduces the standard deviation of the residuals between synthetic and observed natural log of peak velocity from 1.13 to 0.26, relative to simulations for the lossless case. The anelastic losses have their largest effect on short-period surface waves propagating in the Los Angeles basin, which are principally sensitive to  $Q_s$  in the low-velocity, near-surface sediments of the basin. The low-frequency ground motion simulated here is relatively insensitive to  $Q_p$ , as well as to the values of  $Q_s$  at depths greater than roughly that of the 2-km/sec  $S$ -wave velocity isosurface.

## Introduction

Numerous studies have found that the three-dimensional elastic structure of sedimentary basins, such as the Los Angeles (LA) basin, causes strong amplification effects on earthquake ground motion. The wave propagation in the near-surface low-velocity material is likely to be heavily influenced by the anelastic attenuation. For example, the duration of shaking in alluvial valleys has been found to strongly depend on the anelastic attenuation (e.g., Olsen *et al.*, 1995b). Recently, numerical simulations of ground motions have been extended from purely elastic computations (e.g., Olsen and Schuster, 1995; Olsen *et al.*, 1995a; Olsen and Archuleta, 1996; Wald *et al.*, 1996; Wald and Graves, 1998) to include approximations of anelastic loss. For example, Olsen (2000) found discrepancies generally less than a factor of 2 for 0.1- to 0.5-Hz synthetic and observed peak velocities from the 1994  $M$  6.7 Northridge earthquake using only modest damping from the relations  $Q_s = 0.1V_s$  (m/sec) and  $Q_p = 1.5Q_s$ . However, all of these studies used an artificially high minimum  $S$ -wave velocity ( $V_s^{\min}$ ) of 1.0 km/sec for the LA basin due to computational constraints. Such artificial exclusion of relatively low near-surface velocities may bias the estimates of  $Q$ , which in turn may lead to biased ground-motion predictions. Furthermore, those studies to date

that have included anelastic losses have used simplified absorption models with unrealistic frequency dependence of  $Q$ .

Anelastic attenuation, here measured by the quality factor ( $Q$ ), is relatively difficult to estimate *in situ*, in particular for sedimentary layers. Nevertheless, the variation of  $Q_s$  and  $Q_p$  has been documented for different kinds of sediments in several studies. Most of these studies include data from boreholes, which may provide information on variations of  $Q$  related to variation in lithology. For example, Abercrombie (1997) found mean values of  $Q_p$  and  $Q_s$  of 24–106 and 17–52, respectively, increasing from the surface to the bottom of the Cajon, California, borehole (2900 m). Gibbs *et al.* (1994) found  $Q_s$  values of 10 and 15 for Quaternary sediments at depth intervals of 10–35 and 40–115 m in the Santa Clara Valley, California. Wuenschel *et al.* (1991) found a  $Q_s$  of 22 in the upper 600 m of the Upper Mississippi Embayment. Hauksson *et al.* (1987) reported a  $Q_p$  of about 44 throughout a 1500-m-deep borehole penetrating Pleistocene and Pliocene sediments in the Newport–Inglewood fault zone and a mean  $Q_s$  of 25 for the depth interval 420–1500 m. For the Garner Valley, Seale and Archuleta (1989) and Archuleta *et al.* (1992) published values of  $Q_s$  of 10 and 12, respectively, for the upper 30 and 220 m of the soil, weath-

ered granite, and granite encountered in their borehole array. Aster and Shearer (1991) reported a  $Q_p$  and  $Q_s$  of 6.5 and 9, respectively, between 0 and 150 m, and 27 and 26, respectively, between 150 and 300 m in the San Jacinto fault zone, Anza, California. Jongmans and Malin (1995) found values of  $Q_s$  of 8, 8–12, and 65, respectively, at depth intervals 0–298, 298–572, and 572–938 m of Tertiary sediments at Parkfield, California. Malin *et al.* (1988) listed values of  $Q_s$  of 9 and 11 for depth intervals 0–475 and 375–475 m, respectively, for Ophiolites in a borehole at Oroville, California. Finally, Wiggins *et al.* (1978) estimated the power law  $Q_s = 0.0053V_s^{1.25}$  ( $V_s$  in meters per second) for the San Onofre nuclear generating station. Most of these studies are based on frequencies higher than our target range of 0–0.5 Hz. However, since the  $Q$  of earth materials, in particular rock or consolidated sediments, is typically only weakly dependent upon frequency, the studies cited above may still be indicative of  $Q$  values to be expected for our frequency band (for more in-depth discussion of the frequency dependency of  $Q$ , see Sato and Fehler [1998]).

While most attenuation case studies have concentrated on estimating  $Q_s$ , a few have tried to estimate  $Q_p/Q_s$ . Abercrombie (1997) found a  $Q_p/Q_s$  of 1.4–2.0 from the surface toward the bottom of the Cajon borehole. Aster and Shearer (1991) found a  $Q_p/Q_s$  of 0.72 for 0–150 m and 1.04 for 150–300 m for the San Jacinto fault zone. Chen *et al.* (1994) derived nonlinear  $Q_p$ – $Q_s$  relations with  $Q_s > Q_p$  if  $Q_p$  is less than about 25 and  $Q_s < Q_p$  if  $Q_p$  is greater than about 25.

In the present study we construct several simple distributions of  $Q_s$  and  $Q_p$  and identify those that provide the best fit between simulated and recorded 0–0.5 Hz peak velocities for the 1994 Northridge earthquake. Due to the relatively high levels of low-frequency ground motion that it excited, the Northridge earthquake is a suitable source for estimation of  $Q$  for 0- to 0.5-Hz waves. Each  $Q$  structure tested has the form  $Q = f(V_s)$ , with  $f$  piecewise linear. While physically  $Q$  is not strictly a function of shear velocity, the quantities are usually strongly correlated. This approximation therefore provides a practical framework for parameterizing  $Q$  in ground-motion simulations. Although we have not undertaken a comprehensive search for an optimal  $Q$  model, the estimates obtained here should suffice to significantly improve quantitative predictions of ground motion in the LA basin and can serve as a starting point for more detailed studies of the regional  $Q$  structure.

## Description of Basin Model, Earthquake Scenario, and Numerical Method

### Basin Model

We use version 2 of the Southern California Earthquake Center (SCEC) reference model (Magistrale *et al.*, 2000) to represent the 3D seismic velocity and density structure of southern California. In the major sedimentary structures (LA basin, Ventura basin, San Gabriel Valley [SGV], San Fer-

nando Valley [SFV], Chino basin, San Bernardino Valley, and the Salton Trough), the  $P$ -wave velocity estimates in the SCEC model are derived from sediment age and maximum depth of burial, following the empirical relationships of Faust (1951). Density and  $S$ -wave velocity are derived from the  $P$ -wave estimate, using the empirical relations of Nafe and Drake (1960) and Ludwig *et al.* (1970). In crystalline basement rocks outside the basins, the velocities are determined from seismic tomography (Hauksson, 2000). Moho is represented in the model by a surface with the depths determined by the receiver function technique (Zhu and Kanamori, 2000). Shallow (less than  $\approx 150$  m) sediment  $S$ -wave velocities are interpolated from borehole  $S$ -wave measurements, with the aid of the site classification map of Wills *et al.* (2000).

Figure 1 shows the model area for the Northridge simulations. The 3D nature of the model is illustrated in Figure 2 by an isosurface corresponding to an  $S$ -wave velocity of 2.5 km/sec. The large depression is the LA basin. The smaller basin to the northwest is located below the SFV, separated from the LA basin by the Santa Monica Mountains, with the Ventura basin continuing to the north. A relatively small basin is located below the SGV, and the larger, but shallower, Chino basin extends the LA basin to the east. Finally, the San Bernardino basin continues the Chino basin eastward to the San Andreas Fault. Note that the reference frame of the model in Figure 2 is rotated  $32^\circ$  counterclockwise from north to minimize the computational requirements for the simulations. The axis of the main basin trends approximately  $122^\circ$ , as does the causative fault for the 1994 Northridge earthquake. Throughout this article we use azimuths of  $122^\circ$

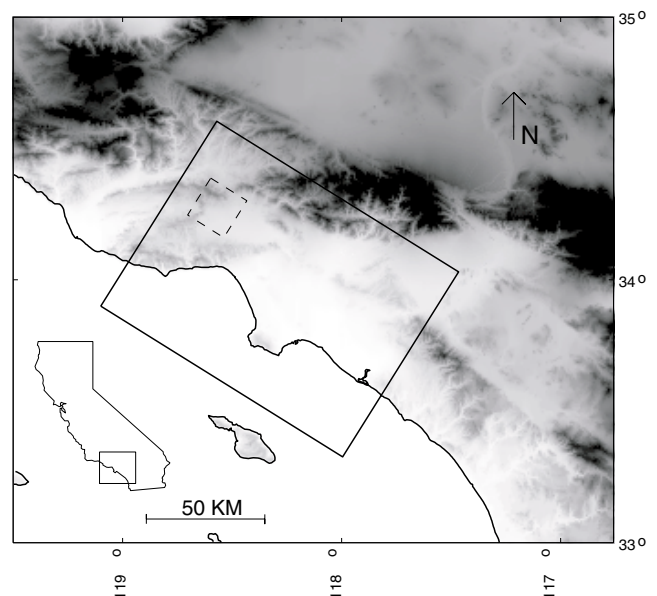


Figure 1. Topographic map of the Los Angeles area model. The rectangle depicts the modeling area. The dashed line shows the surface projection of the fault for the 1994 Northridge earthquake.

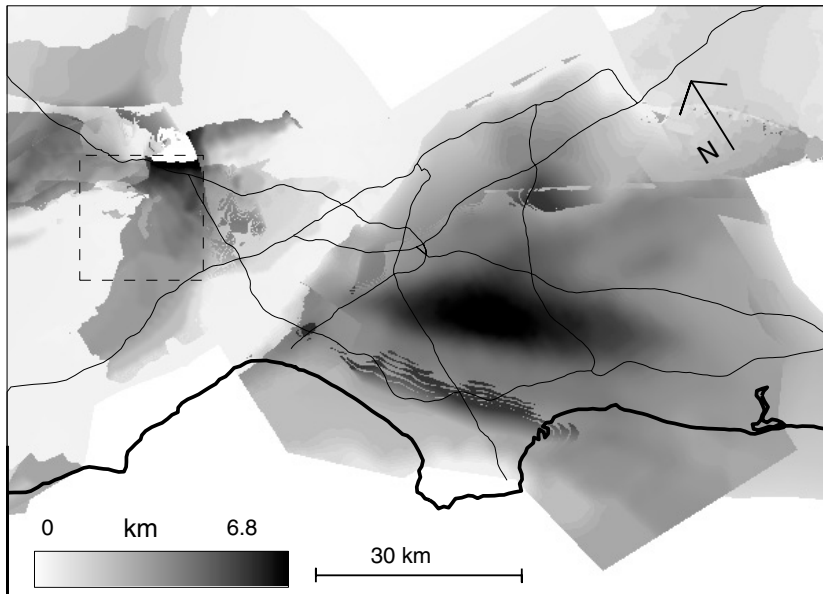


Figure 2. 3D image of the isosurface for an  $S$ -wave velocity of 2.5 km/sec of the SCEC velocity model version 2. The thin solid lines depict major freeways in the modeling area, and the thick solid line is the coastline. The dashed line shows the surface projection of the fault for the 1994 Northridge earthquake.

and  $32^\circ$  to analyze the horizontal ground motion for the earthquake simulations. The topography above sea level and ocean water are not included in the simulations.

Figure 3 shows depth contours for isosurfaces of (top)  $V_s = 0.5$  km/sec and (bottom)  $V_s = 1.0$  km/sec, respectively. The main LA basin is outlined by the 150-m depth contour for  $V_s = 0.5$  km/sec and the 850-m depth contour for  $V_s = 1.0$  km/sec. The maximum depths of the  $V_s = 0.5$ - and 1.0-km/sec isosurfaces are about 300 m and 1.3 km, respectively. In previous studies, volumes with  $V_s$  less than 1.0 km/sec have been replaced by material with  $V_s$  equal to 1.0 km/sec (e.g., Olsen, 2000; Wald and Graves, 1998). The large maximum depth extent of sediments with  $V_s < 1.0$  km/sec suggests that this velocity clamping, while necessary in previous ground-motion studies due to computational limitations, may significantly bias the amplitudes and therefore the estimate of  $Q$ . Here, we include sediments with  $V_s$  as low as 500 m/sec. This model still assigns artificially high  $S$ -wave velocities to up to 300 m of near-surface sediments, as illustrated in Figure 3 (top). However, we have compared point-source solutions in the SCEC model with solutions obtained by Bielik and Fernandez (personal comm., 2002), who used a finite-element method permitting very fine discretization of the low-velocity layers. Based on these comparisons, we conclude that the neglect of velocities below 500 m/sec in the SCEC model causes at most a small underestimate of amplitudes in the 0- to 0.5-Hz band, typically less than about 10%–20%. As will be shown, this error is small compared with the amplitude overestimate caused by the neglect of anelastic attenuation.

#### Earthquake Scenario

We have selected the 1994  $M$  6.7 Northridge earthquake for our attenuation sensitivity study. This earthquake is well recorded within the basin, with a relatively good signal-to-

noise ratio for frequencies below 0.5 Hz. For the slip distribution we use the combined kinematic inversion (i.e., the solution based upon teleseismic, strong motion, and geodetic data) of Wald *et al.* (1996), with a moment of  $1.3 \times 10^{19}$  N m and an average rupture velocity of 3 km/sec. The slip rate function of Wald *et al.* consists of three overlapping isosceles triangles, each with duration 0.6 sec. We simplify this slip rate function, using instead a single isosceles triangle with 1-sec duration, while preserving the total Wald *et al.* seismic moment estimate of each fault surface element. This simplification has little effect on the synthetic waveforms in the frequency range considered here. The source parameters are summarized in Table 1.

#### Numerical Method

The physical model is discretized with a grid spacing equivalent to five nodes per minimum shear wavelength of 1.0 km (i.e., the minimum  $V_s$  of 0.5 km/sec divided by the maximum resolved frequency of 0.5 Hz). Our tests show that this rule of thumb commonly used for fourth-order schemes (e.g., Levander, 1988) provides sufficiently accurate peak velocities. The full model is discretized at 0.2-km grid spacing into 52.7 million grid points. The 3D modeling parameters are listed in Table 2. The source is implemented in the finite-difference grid by adding  $-M_{ij}^n(t)/dx^3$  to  $S_{ij}^n(t)$ , where  $M_{ij}^n(t)$  is the  $ij$ th component of the moment tensor contribution to the  $n$ th computational unit cell at time  $t$  and  $S_{ij}^n(t)$  is the  $ij$ th component of the stress tensor in the  $n$ th cell at time  $t$ . The synthetic seismograms are low-pass filtered to frequencies less than 0.5 Hz (butterworth filter with four poles and two passes). We use a staggered-grid finite-difference scheme to solve the 3D elastic equations of motion (Levander, 1988; Olsen *et al.*, 1995a,b; Olsen and Archuleta, 1996; Graves, 1996); the accuracy is fourth order in space and second order in time. The numerical implementation of

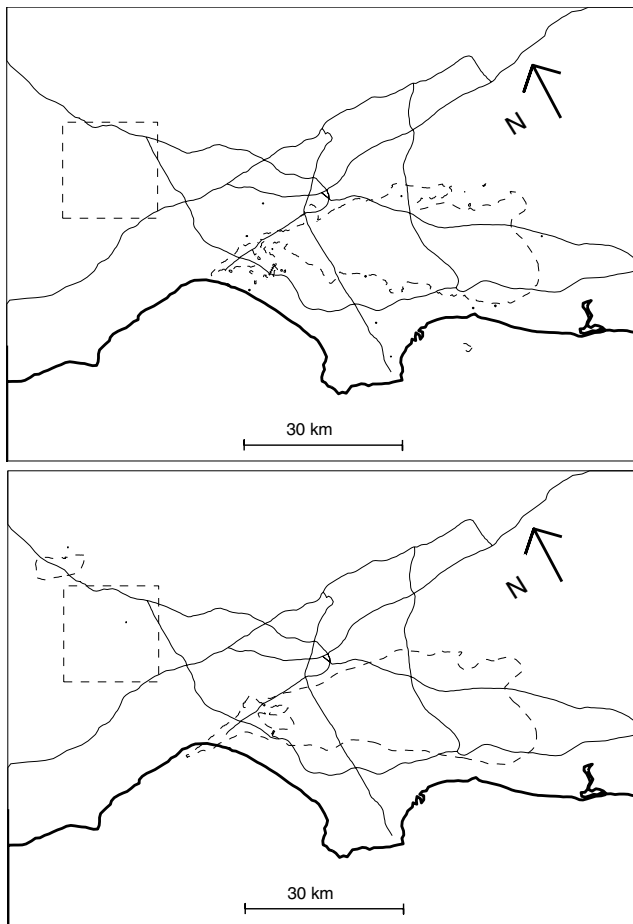


Figure 3. (top) The 150-m depth contour for the isosurface  $V_s = 0.5$  km/sec and (bottom) the 850-m depth contour for the isosurface  $V_s = 1.0$  km/sec of the SCEC velocity model version 2. The thin solid lines depict major freeways in the modeling area, and the thick solid line is the coastline. The maximum depth of the  $V_s = 0.5$  km/sec and  $V_s = 1.0$  km/sec isosurfaces are 300 m and 1.3 km/sec, respectively.

the 3D scheme is described in Olsen (1994). The media parameters are averaged spatially as suggested by Moczo *et al.* (2002), for example, arithmetically for densities and harmonically for Lamé parameters. We use the absorbing boundary conditions of Clayton and Engquist (1977), and the sides of the computational model are padded with homogeneous regions of attenuative material to further limit reflections from the boundaries of the grid (Cerjan *et al.*, 1985).

The ground-motion simulations presented in the literature include various approximations of anelastic loss. Graves (1996) used an approximation where anelastic attenuation is applied in a form that is equivalent to a Maxwell solid ( $Q$  proportional to frequency), without distinction between  $Q_s$  and  $Q_p$ . Olsen (2000) and Olsen *et al.* (2000) used the viscoelastic approach by Blanch *et al.* (1995) and Robertsson *et al.* (1994), using a standard linear solid model with one

Table 1  
Earthquake Rupture Parameters

Latitude, longitude of fault top, center (deg)	34.344, -118.515
Distance along strike, downdip hypocenter location (km)	15.0, 19.4
Fault length, width (km)	18.0, 24.0
Dip, strike, rake (deg)	40.0, 122.0, 101.0
Rise time (sec)	1.0
Rupture velocity (km/sec)	3.0
Moment (N m)	$1.3e^{19}$

Table 2  
3D Modeling Parameters

Spatial discretization (km)	0.2
Temporal discretization (sec)	0.0125
Lowest $P$ -wave velocity (km/sec)	0.936
Lowest $S$ -wave velocity (km/sec)	0.5
Lowest density ( $kg/m^3$ )	1700
Number of timesteps	10,000
Simulation time (sec)	120

relaxation peak. However, Day (1998) and Day and Bradley (2001) significantly improved the accuracy of the stress relaxation schemes using a coarse-grained implementation of the memory variables, which is used in our analysis here. This implementation closely approximates frequency-independent  $Q$  by incorporating a large number of relaxation times (eight in our calculations) into the relaxation function and does so without sacrifice of computational or memory efficiency.

## LA Basin Response

### Comparison to Data

We apply the above method to examine the effects of low sediment velocities and anelastic attenuation on ground motion in the 0- to 0.5-Hz range. Figure 4 shows comparisons between the natural log of the observed and synthetic horizontal peak velocities for data and synthetics from simulations of the Northridge earthquake using various distributions of  $Q_s$  and  $Q_p$  at sites within the greater LA basin. We measure the horizontal peak velocity as  $\sqrt{V_x^2 + V_y^2}$ , where  $V_x$  and  $V_y$  are the maximum values of the absolute particle velocity time histories along  $122^\circ$  and  $32^\circ$ , respectively. The record length of the data varies between 30 and 60 sec. However, due to uncertainties in the time correlation, we do not attempt to determine the peak velocities from the synthetics for the time interval corresponding exactly to that for the data records. Instead, we assume that the true peak ground velocities were observed within the data records and consistently compute the peak values for the synthetics from 120 sec of simulated ground motion. This procedure introduces the risk of comparing weakly attenuated first arrivals in the

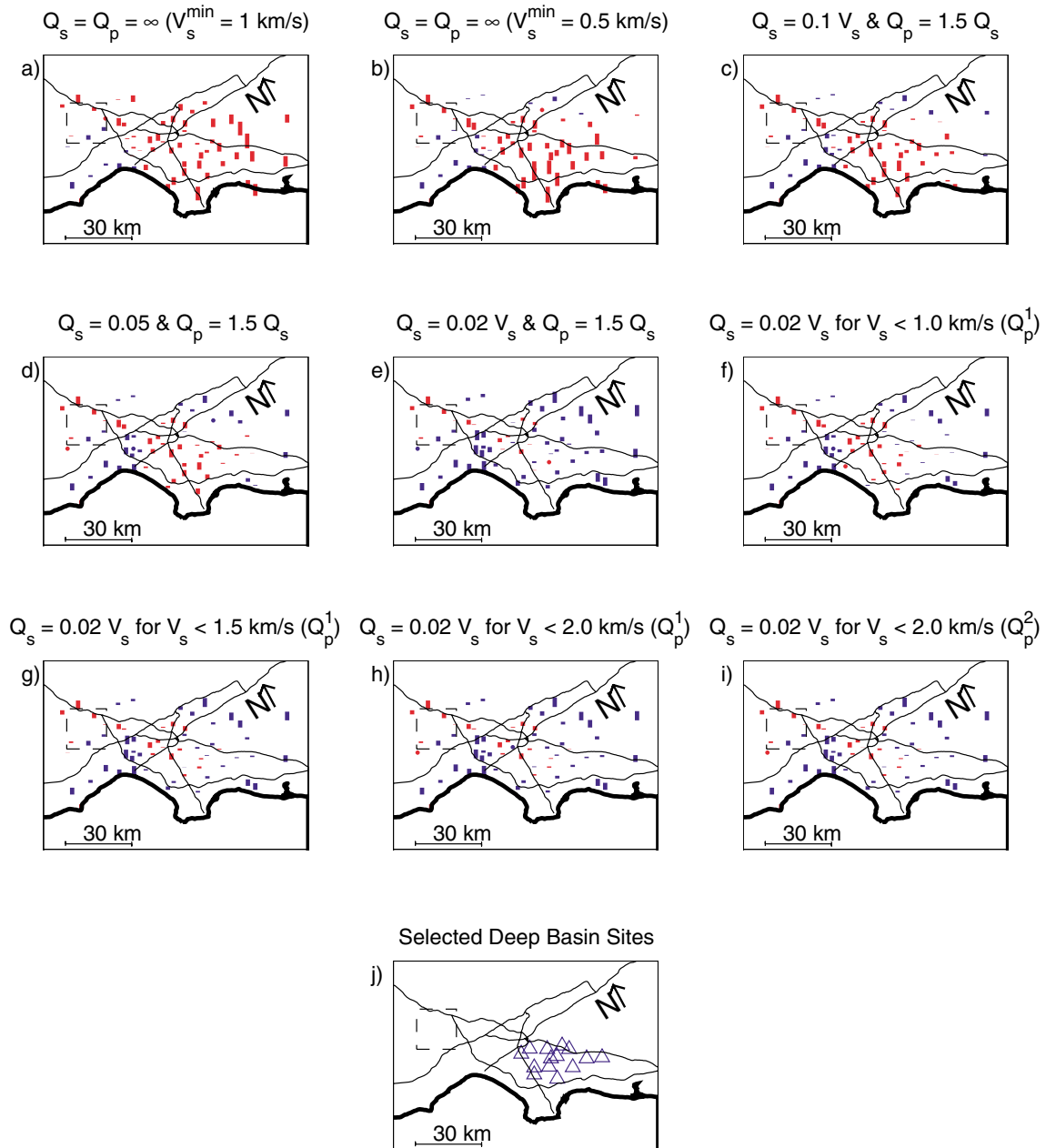


Figure 4. Difference between the natural log of the observed and synthetic peak velocities at 55 sites, represented by the length of the bars; blue depicts underprediction, red depicts overprediction. (a) Lossless model,  $V_s^{\min} = 1$  km/sec, (b) lossless model,  $V_s^{\min} = 0.5$  km/sec, (c)  $Q_s/V_s = 0.1$ , (d)  $Q_s/V_s = 0.05$ , and (e)  $Q_s/V_s = 0.02$ .  $Q_s/V_s = 0.02$  for (f)  $V_s < 1.0$  km/sec, (g)  $V_s < 1.5$  km/sec, (h) and (i)  $V_s < 2.0$  km/sec, (j) location of 14 selected deep basin sites. Panels c–h use  $Q_p/Q_s = 1.5$  ( $Q_p^1$ ), and panel i uses  $Q_p/Q_s = (3/4)(V_p/V_s)^2$  ( $Q_p^2$ ). Lines labeled as in Figure 2.

data and highly attenuated surface waves in the synthetics, or vice versa. However, at most stations, the peaks do occur at similar times in the synthetic and observed records, in particular for the surface waves at the LA basin stations that are dominating the attenuation estimates.

Figure 4a,b compares the residuals obtained using minimum  $V_s$  values of 1 km/sec (Fig. 4a) and 0.5 km/s (Fig. 4b), respectively, in lossless models. In both cases, the synthetic

peak velocities overpredict the observations, particularly at deep basin sites, as would be expected due to the omission of finite  $Q$  in both simulations. However, the important point to be drawn from this comparison is that the simulated amplitudes are sensitive to the minimum  $V_s$  values, even in the relatively low-frequency band of 0–0.5 Hz that we are targeting here. In particular, the comparison shows that  $V_s^{\min}$  of 1 km/sec is not low enough to accurately simulate an im-

portant feature of the seismograms at this frequency. Compared with using the lower  $V_s^{\min}$  of 0.5 km/sec, the artificial 1-km/sec clamp has an effect on peak velocity comparable in magnitude to the effect of anelastic attenuation that we are trying to model and quantify. In contrast, there are two lines of argument to suggest that the lower  $V_s^{\min}$  value, 0.5 km/sec, is low enough to provide sufficient 0- to 0.5-Hz accuracy to support our objectives. The first is a comparison of two point-source finite-element simulations done for the SCEC model (J. Bielak and A. Fernandez, personal comm., 2002), using  $V_s^{\min}$  values of 0.5 and 0.2 km/sec, respectively. Peak velocity differences between these simulations are typically less than 10%–20%. A second supporting argument, based on the 1D root mean square (rms) theory for the amplification of *SH* waves (Day, 1996), gives a very similar result. Starting with a model with  $V_s^{\min}$  equal to 0.5 km/sec, we apply equation (37) of Day (1996), with an upper cutoff frequency ( $f_{\max}$ ) of 0.5 Hz, to gauge the effect of adding a 100-m-thick near-surface layer with a  $V_s$  of 300 m/sec. This modification approximately follows the mean minus 1  $\sigma$  LA basin profile in figure 5 of Magistrale *et al.* (2000). The low-velocity modification results in amplification of the peak velocity by a further factor of only  $\approx 20\%$ , compared with the model with  $V_s^{\min}$  of 0.5 km/sec.

Figure 4c–i shows results for  $V_s^{\min} = 0.5$  km/sec. In Figure 4c–e we explore the effect of anelastic attenuation on peak velocities for  $Q_s$  defined as fractions (0.1, 0.05, and 0.02, respectively) of  $V_s$  (m/sec), compared to a lossless model (Fig. 4a). The peak velocities of the data are overpredicted in the main LA basin for  $Q_s/V_s = \infty$ , 0.1, and 0.05, but are in closer agreement for  $Q_s/V_s = 0.02$ . The variation of  $Q_s$  for the  $Q_s/V_s$  relations used in Figure 4c–e are illustrated in Figure 5 at the deep basin site Inglewood (IGU) (see Fig. 6 for location).  $Q_s/V_s = 0.02$ , 0.05, and 0.1 generate variations of  $Q_s$  at IGU between 10 and 50, 25 and 120, and 50 and 240 from the surface to a depth of 3 km, respectively. While  $Q_s/V_s = 0.02$  provides a better overall comparison between synthetic and observed peak velocities, the fit at some basin and particularly rock sites in the Santa Monica Mountains and other areas surrounding the LA basin is degraded due to excessive damping. This underprediction is likely in part due to a relatively large uncertainty of the near-surface shear-wave velocities in the parts of the SCEC model lying outside the sedimentary basins. Other possible causes of the misfits include the omission of mountain topography and a realistic lateral shear impedance along the coastline. The inclusion of both of these features in the simulations is numerically challenging, but likely possible in future revisions of our results using more sophisticated simulation schemes.

It is possible that the  $Q_s/V_s$  ratio varies between layers with smaller and larger  $V_s$ 's. Intuitively,  $Q_s/V_s$  may be expected to be larger than 0.02 for layers with a larger  $V_s$ , which is explored in Figure 4f–h. Here, we examine the effect of using  $Q_s/V_s = 0.1$  for  $V_s$  greater than a threshold value,  $V_s^T$ . The fit for the peak velocities using  $V_s^T = 1.5$  and 2 km/sec is similar to that for  $V_s^T = \infty$  (Fig. 4d), while

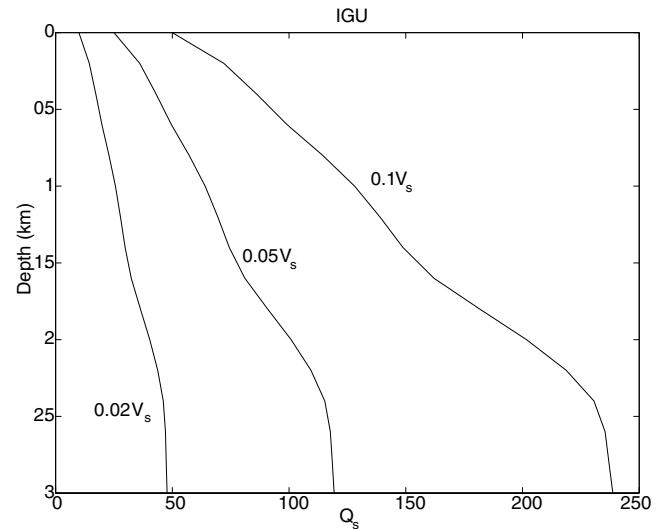


Figure 5. Illustration of the variation of  $Q_s$  for the  $Q_s/V_s$  relations used in Figure 4b–d at the deep basin site IGU (see Fig. 6 for location).

$V_s^T = 1$  km/sec generates overprediction in the northwest part of the main LA basin.

Figure 4i examines the sensitivity of the peak values to  $Q_p$ . The comparison is made for  $V_s^T = 2$  km/sec as in Figure 4h, but using  $Q_p = Q_s(3/4)(V_p/V_s)^2$ , which implies  $Q(\text{bulk}) = \infty$ . The fit to the peak velocities is almost identical to that for  $Q_p = 1.5Q_s$ , suggesting that the peak velocities in the model are mostly insensitive to  $Q_p$ . This result is not surprising, considering an abundance of *S* and surface waves propagating in the LA basin for the Northridge event (Olsen, 2000).

The misfits in Figure 4 are quantified in Table 3 by the rms of the natural log of the peak velocity residuals for two sets of sites: 14 deep basin sites from the main LA basin (locations are shown in Fig. 4j) as well as for all 61 (rock and sediment) sites. We provide separate measurements of the misfit for the deep basin sites for two reasons. First, the deep LA basin sediment sites are most sensitive to  $Q$  due to the importance of short-period surface waves at these sites and the presence of relatively low near-surface *S*-wave velocities. Second, this set of sites excludes rock sites where there are relatively large uncertainties on the *S*-wave velocities in the current SCEC reference model (because the velocity function in those portions of the model lying outside the major basins is based on seismic tomography, which cannot resolve near-surface variations on the scale of the wavelength used in this study). The smallest rms residuals are obtained from the simulations using a  $Q_s/V_s$  of 0.02 for  $V_s < 1$ –2 km/sec for both sets of sites. If only one value of  $Q_s/V_s$  is used throughout the model ( $V_s^T = \infty$ ),  $Q_s/V_s = 0.05$  provides a smaller log standard deviation compared to  $Q_s/V_s = 0.02$ .

Figure 6 shows comparisons between recorded and simulated seismograms using  $Q_s/V_s$  of 0.02 for  $V_s < 1.5$  km/sec

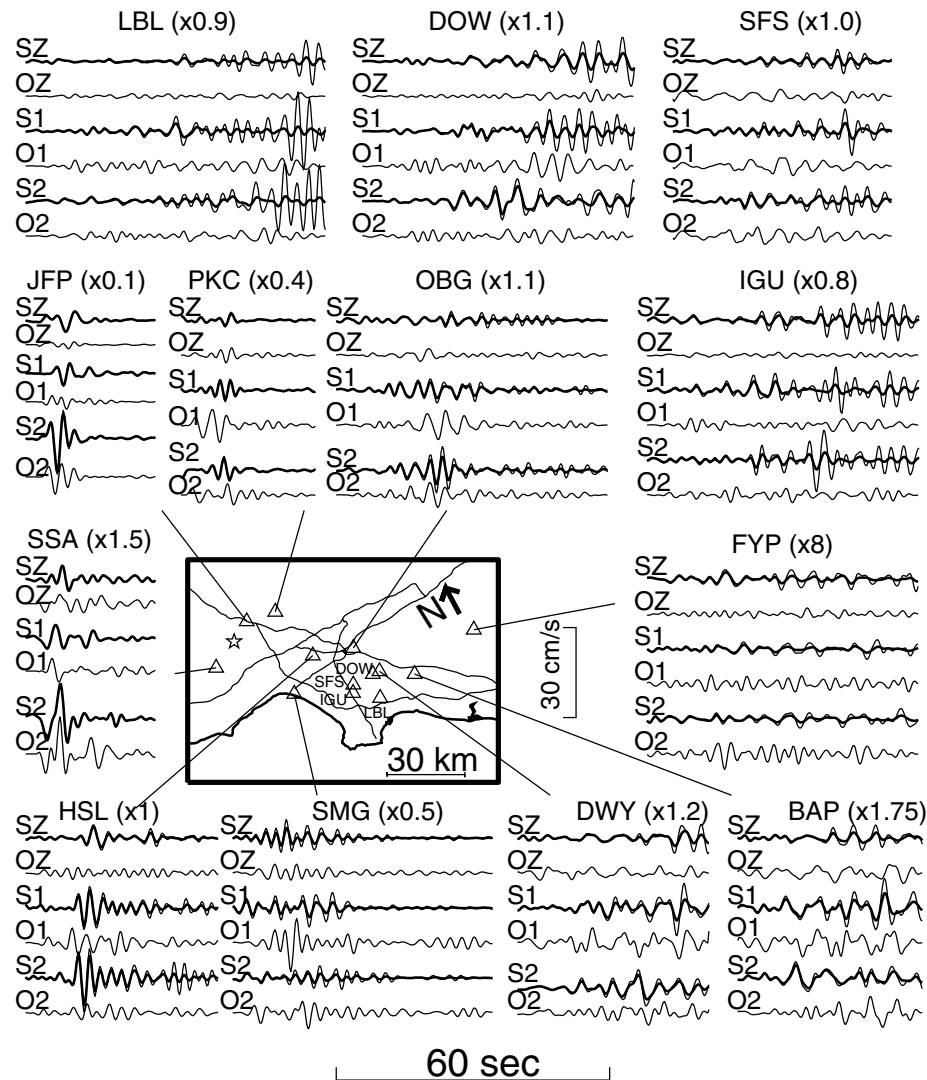


Figure 6. (a) Comparison of observed versus synthetic 0.1- to 0.5-Hz waveforms for our preferred  $Q$  model as well as the elastic response (thin traces) at 13 sites within the model. “O” and “S” depict observed and synthetic seismograms, respectively, and “Z”, “1” and “2” depict vertical, 122°, and 32° horizontal components, respectively.

Table 3

Standard Deviation of the Natural Log Peak Velocity Misfit

$V_s^{\min}$ (km)	$Q_s/V_s^*$	$Q_p/Q_s$	$V_s^{\dagger}$ (km/sec)	Deep Basin	All
1.0	$\infty$	1	$\infty$	0.75	0.74
0.5	$\infty$	1	$\infty$	1.13	0.79
0.5	0.1	1.5	$\infty$	0.77	0.59
0.5	0.05	1.5	$\infty$	0.51	0.51
0.5	0.02	1.5	$\infty$	0.33	0.62
0.5	0.02	1.5	2	0.27	0.54
0.5	0.02	1.5	1.5	0.27	0.53
0.5	0.02	1.5	1	0.33	0.48
0.5	0.02	$(3/4)(V_p/V_s)^2$	2	0.26	0.53

\* $V_s$  in m/sec.

and  $Q_s/V_s = 0.1$  for  $V_s > 1.5$  km/sec, at 13 stations for various distances and azimuths from the fault. The lossy model is also compared to the elastic model in Figure 6. For each station, the comparisons between the seismograms are made at the same scale for all three components and for the available record length of the data. Due to the lack of absolute timing, the synthetic and recorded seismograms are aligned visually. The fits among the phases vary considerably. For all the simulations, the best results are obtained for stations located near the source, such as Santa Susanna and Jensen filtration plant (JFP), but the phase agreement is degraded at larger distances from the fault, where the effects of model error become increasingly large. Note that the model fails to reproduce the large recorded velocity pulse on the horizontal component along 122° at the Santa Monica city hall grounds. The amplitudes of synthetics for the lossy

model are seen to be decreased by up to a factor of 3 at some basin sites, compared to the purely elastic response, while the near-source synthetics are mostly insensitive to attenuation. The latter is expected from the predominantly short-duration body waves in the near field and is in agreement with the omission of  $Q$  in the kinematic slip inversion for the Northridge earthquake by Wald *et al.* (1996). From Figure 7, it appears that the effects of anelastic attenuation are most significant for relatively late-arriving, short-period ( $\approx 2$ -sec) surface waves. This is reasonable, in that such waves would be expected to be highly sensitive to damping by shallow sediments. Figure 7 emphasizes this predominance of anelastic effects in the late-arriving surface waves by contrasting the model behavior at near-fault station JFP (Fig. 7, top) with that at the more distant LA basin station IGU (Fig. 7, bottom), over a long (125-sec) record duration. The preferred  $Q$  model produces peak velocities only about 30% as large as does the lossless model at IGU. Similarly strong attenuation effects are present at other deep basin sites, such as LBL and DOW. In contrast, at JFP, directly above the fault plane, the preferred synthetics have peaks about 94% of the lossless case.

Finally, Figure 8 shows the peak velocities from the simulation using a  $Q_s/V_s$  of 0.02 for  $V_s < 1.5$  km/sec and  $Q_s/V_s = 0.1$  for  $V_s > 1.5$  km/sec. The largest peak velocities occur on the strike-perpendicular component ( $32^\circ$ ), in the up-dip area just north of the fault where the directivity was most prominent, in agreement with data. The maximum 0–0.5 Hz peak velocities on the  $122^\circ$ ,  $32^\circ$ , and vertical components were 70, 167, and 83 cm/sec, respectively. These values are in agreement with those from data, where the largest broadband peak velocity (177 cm/sec) was recorded in the Van Norman Complex of the SFV (Bardet and Davis, 1996). The largest simulated peak velocities in the LA basin occur just south of the Santa Monica Mountains. In particular, the peak velocities are relatively large in Santa Monica, near the intersection of I-10 and I-405, which was damaged in the earthquake. Further south into the LA basin, attenuation has significantly reduced the ground motion.

## Discussion

The objective of the present study is to find a distribution of  $Q_s$  and  $Q_p$  as functions of  $V_s$  and  $V_p$  that provides an improved basis for practical ground-motion simulations and a starting point for more detailed future studies of  $Q$  structure. From a series of trial-and-error simulations of the 1994 Northridge earthquake, we have found  $Q$  distributions that reduce the standard deviation of the residuals between observed and predicted log peak velocity from 1.13 to 0.26. It is likely that further improvement to the Northridge data fit could be obtained by a different parameterization of  $Q$ , a more comprehensive search of the parameter space, or both. In addition, future studies should also seek to refine the  $Q$  model by using ground-motion data from additional earthquakes that will sample the LA basin model differently. Both types of refinement are beyond the scope of the present study.

The results of this study are obtained for relatively long-period waves ( $>2$  sec) propagating in the LA basin, with sediment  $S$  velocities constrained to exceed 0.5 km/sec. These constraints are dictated in part by computational limitations. We have shown that the minimum velocity and maximum resolved frequency that we used are compatible, in the sense that clamping  $V_s$  at 0.5 m/sec has only minimal effect on the peak ground velocity predictions in our frequency band. However, further reduction in  $V_s^{\min}$  would be necessary to include shorter period waves in the analysis. This increase in bandwidth may become critical in order to achieve substantial further refinement in the  $Q$  model, since  $Q$  may well be a function of frequency. In addition, the accuracy of our results relies on the resolution of the SCEC reference velocity model (Magistrale *et al.*, 2000) used in the simulations. For example, it is possible that our preferred  $Q_s/V_s$  relations are biased by error in the velocity or density structure of some basin margins, where significant parts of the attenuation-prone surface waves are generated. Therefore, our  $Q$  model should be regarded as provisional and will require revision in parallel with improvements to the elastic

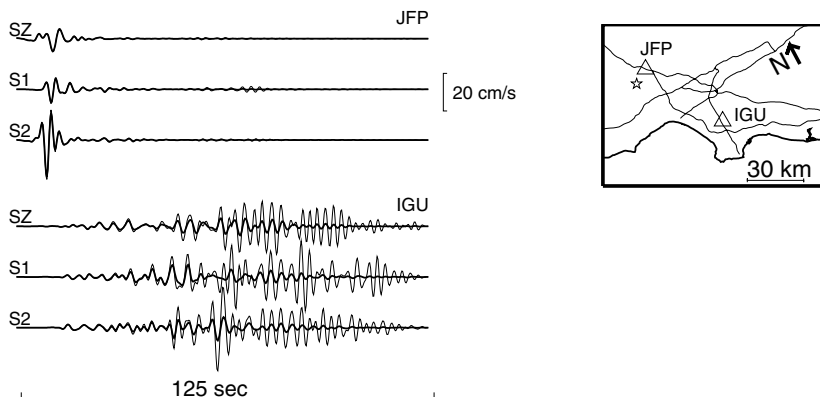


Figure 7. Comparison of elastic (thin traces) and preferred viscoelastic synthetic seismograms at (top) JFP and (bottom) IGU. Lines labeled as in Figure 2.



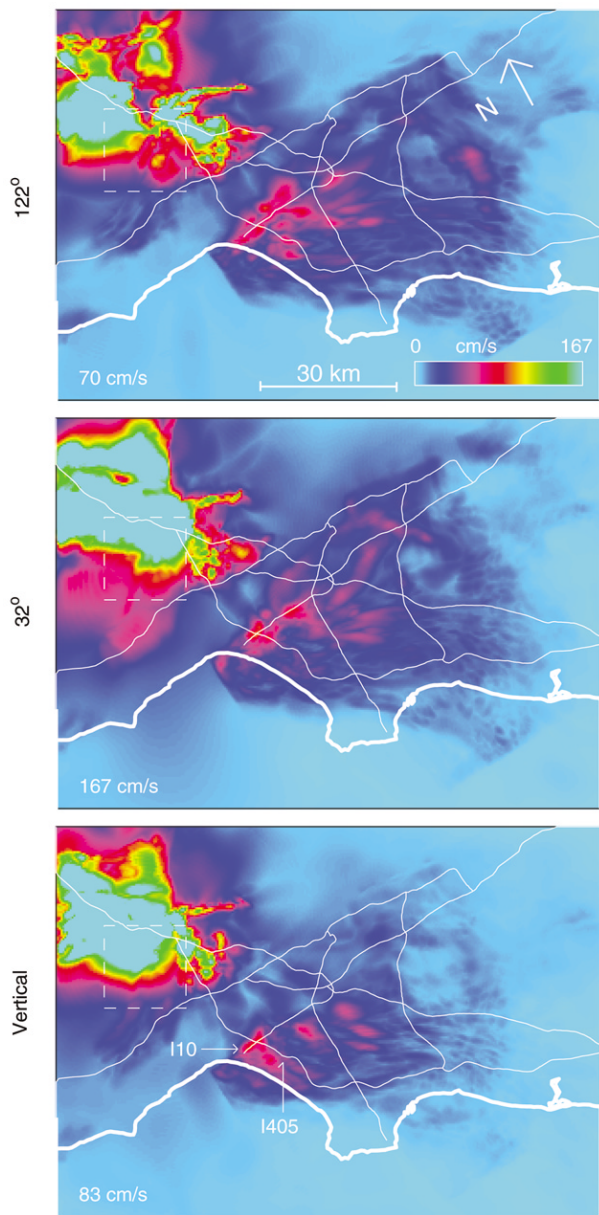


Figure 8. Peak velocities in the LA basin for the simulation using  $Q_s/V_s = 0.02$  for  $V_s < 1.5$  km/sec and  $Q_s/V_s = 0.1$  for  $V_s > 1.5$  km/sec, and  $Q_p/Q_s = 1.5$ . Lines labeled as in Figure 2.

structure. However, the velocity model is representative of the current state of the art for characterizing regional structure in 3D simulations, and combining it with our provisional  $Q$  model is likely to provide an improved predictive capability. This expectation can and should be tested in the future using additional earthquake records. Finally, a limitation of the study is that the results may not be easily extrapolated to the sediments of other geological settings. The applicability of the proposed  $Q_s/V_s$  relations should be tested before being applied to sedimentary basins in other areas.

In many of the studies reporting observed values of  $Q_s$

and  $Q_p$  discussed in the Introduction, measurements of  $V_s$  are also available. While the geological settings for the different study areas vary tremendously, it is possible to compare the range of  $Q_s/V_s$  from observations to those from our simulations. The ranges of  $Q_s/V_s$  ( $V_s$  in meters per second) ratios from observations for material with  $V_s < 1.5$  km/sec, where the strongest constraints are provided by the modeling, are 0.01–0.041 (Cajon), 0.016–0.035 (Garner Valley), 0.021–0.056 (Santa Clara Valley), and 0.003–0.03 (Parkfield). These values, as well as the empirical power law between  $Q_s$  and  $V_s$  for the San Onofre nuclear generating station, are all in good agreement with our preferred value for  $Q_s/V_s$  of 0.02 from modeling. The relation for San Onofre ( $Q_s = 0.0053V_s^{1.25}$ ,  $V_s$  in meters per second) gives 12.6 for  $V_s = 500$  m/sec, similar to the value (10) estimated from  $Q_s = 0.02V_s$  (m/sec), and gives a superlinear increase of  $Q_s$  with  $V_s$ , similar to  $Q_s/V_s = 0.1$  for  $V_s > 1.5$  km/sec estimated here.

Our results were obtained in the context of a frequency-independent  $Q$  model, implemented computationally using the coarse-grained memory variables method (Day, 1998; Day and Bradley, 2001). We limited this initial study to frequency-independent models because we thought it unlikely that existing data would be able to discriminate among competing models for the frequency dependence. However, the coarse-grained method can accommodate alternative models for the frequency dependence of  $Q$ , such as general power laws. Simpler computational methods without memory variables could be used in certain special cases (e.g., see Graves, 1996, for the special case of  $Q$  proportional to frequency).

Our results for the Northridge earthquake have been obtained exclusively through linear models. Laboratory testing of soils in cyclic shear reveals appreciable nonlinear, strain-amplitude-dependent damping for strains exceeding roughly  $10^{-4}$  for high-plasticity-index soils and roughly  $10^{-5}$  for low-plasticity-index soils (e.g., Vucetic, 1994). Several recent studies (e.g., Field *et al.*, 1997, 1998; Jones and Olsen, 1998) have attempted to predict the nonlinear soil behavior of specific soil sites within the basin, for the Northridge earthquake. These studies generally find significant unrecoverable effects for strains greater than about  $10^{-4}$ ; however, the nonlinearity occurs almost exclusively for frequencies above 0.5–1 Hz. In order to assess the degree to which nonlinear soil behavior may have affected the results in our study, we have calculated the maximum area-wide shear strain, approximated as the maximum calculated horizontal particle velocity divided by the material  $S$ -wave velocity (Fig. 9). The strains in the epicentral area exceed the threshold for nonlinear behavior, in agreement with Field *et al.* (1997, 1998); however, the values for the LA basin, where effects of the  $Q$  model were most significant, remain in the linear regime for most soils. An exception might be for some very low plasticity soils, for which the strain levels may be high enough to increase the critical damping ratio by at most

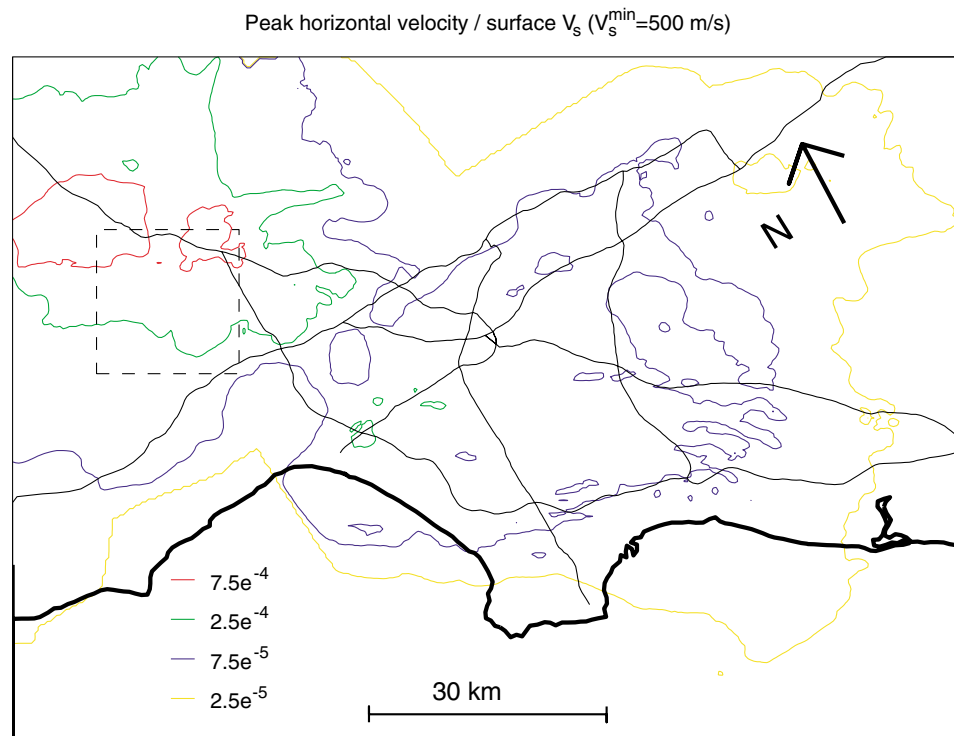


Figure 9. Estimate of the peak horizontal strains in the LA basin for the simulation using  $Q_s/V_s = 0.02$  for  $V_s < 1.5$  km/sec and  $Q_s/V_s = 0.1$  for  $V_s > 1.5$  km/sec, and  $Q_p/Q_s = 1.5$ . The strains are calculated as the peak horizontal velocities divided by the surface  $V_s$ . Lines labeled as in Figure 2.

a factor of 2 or so above the linear level (Vucetic, 1994), corresponding to a  $Q$  reduction of a factor of 2. Therefore, we believe that our  $Q$  estimates principally reflect linearly anelastic losses, with the possibility of some modest enhancement, due to nonlinearity in the shallowest part of the model. The latter could affect some very shallow  $Q_s$  estimates only, and those probably by less than a factor of 2.

The LA basin  $Q_s$  values in our preferred model are as low as  $\approx 10$  (Fig. 6) in the near surface. While this value is comparable with many of the seismically inferred shallow  $Q_s$  values cited in the Introduction, it is lower, by about a factor of 2 or more, than most  $Q_s$  laboratory measurements for soils at low strain (e.g., Vucetic, 1994). Part of the discrepancy may be made up for by nonlinearity, although for the reasons noted in the previous paragraph, this effect seems unlikely to account for the full discrepancy. Alternatively, it is conceivable that the *in situ* damping behavior of the LA basin soils is not well represented by typical laboratory-scale experiments. However, a more likely alternative to explanations based on nonlinearity or scale dependence of the damping properties is that our inferred  $Q_s$  values subsume an additional component of energy loss due to scattering. Such losses would be expected from scattering due to variations in elastic structure occurring at a scale below the resolution of the SCEC model.

## Conclusions

Accurate estimation of earthquake ground motion in the LA basin, in the 0- to 0.5-Hz band, using 3D numerical simulations, requires that (1)  $S$ -wave velocities as low as at least 0.5 km/sec be retained in the velocity model and (2) anelastic losses be included, with  $Q_s/V_s$  (with  $V_s$  in meters per second) as low as  $\approx 0.02$ , at least in the uppermost part of the basin where  $V_s < 1-2$  km/sec. A model with these features reduces the standard deviation of the residuals between the synthetic and observed natural log of peak velocities from 1.13 to 0.26, relative to a lossless model with the same seismic velocity structure. In contrast, the ground-motion simulations are relatively insensitive to  $Q_p$ . The most important effect of the attenuation model is in reducing the amplitudes of surface waves propagating within the basin sediments. The inferred  $Q_s$  values are sufficiently low, compared with typical low-strain laboratory measurements of soil damping, that it is likely that they reflect combined effects of both intrinsic anelastic attenuation and scattering attenuation due to unmodeled heterogeneity in the seismic velocity structure. The  $Q$  estimates from this study may serve as a valuable starting point for future development of improved models of regional anelastic structure. Those efforts would benefit from including higher frequencies, modeling lower seismic velocities, and exploiting data from multiple earthquakes.

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